


RECEIVED

OCT 19 2001

Sheet 1 of 4

Technology Center 2600

FORM PTO-1449	Pat. Docket No.: M851.12-0323	Appl. No.: 09/751,836
 <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p>	First Named Inventor:	
	Philipp H. Schmid et al.	
	Filing Date	Group Art:
	December 29, 2000	2641

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Isolated-word sentence recognition using probabilistic context-free grammar By: G.J.F. Jones et al. Eurospeech 91, 2nd European Conf. On Speech Comm. and Tech. Proceedings p. 487-9, vol. 2.
AB	Context-free grammar driven, frame synchronous HMM-based continuous speech recognition methods using word spotting By: S. Nakagawa et al. Transactions of the Inst. of Electr., Information and Communication Engineers D-II vol. J76D-II, no. 7, p. 1329-36.
AC	One-pass continuous speech recognition directed by generalized LR parsing By: K. Kita et al., ICSLP 94. 1994 International Conference on Spoken Language Processing.
AD	The ARISTOTLE speech recognition system By: C. Waters et al., Progress in Connectionist-Based Information Systems
AE	A context-free grammar compiler for speech understanding systems By: M.K. Brown et al. ICSLP 94. 1994 International Conference on Spoken Language Processing Part. Vol. 1, p. 21-4.
AF	Efficient word-graph parsing and search with a stochastic context-free grammar By: C.J. Waters et al., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
AG	Dynamic programming parsing for context-free grammar in continuous speech recognition By: H. Ney, IEEE Transactions on Signal Processing, Vol. 39, no. 2, p. 336-40.
AH	Using a stochastic context-free grammar as a language model for speech recognition By: D. Jurafsky, et al., 1995 International Conference on Acoustics, Speech, and Signal Processing. Part 1, p. 189-92, Vol. 1.
AI	Development of an effective context-free parser for continuous stochastic languages By: L.R. Strydom et al., AFRICON 96'. Incorporating AP-MTT-96 and COMSIG-96.
AJ	Reliable utterance segment recognition by integrating a grammar with statistical language constraints By: H. Tsukada et al., Speech Communication Vol. 26, No. 4, p. 299-309.
AK	Active middleware services in a decision support system for managing highly available distributed resources By: S.A. Fakhouri et al., International Conf. On Distributed Systems Platforms and Open Distributed Processing. Lecture Notes in Computer Science Vol. 1795, p. 349-71.


EXAMINER:

DATE CONSIDERED:

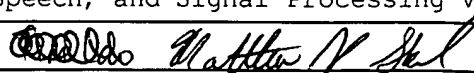
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
OCT 19 2001  
Technology Center 2600

Sheet 2 of 4

FORM PTO-1449	Atty. Docket No.: M651.12-0323	Appl. No.: 09/751,836
 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Philipp H. Schmid et al.	
	Filing Date	Group Art:
	December 29, 2000	2641


OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Improving scalability of event-driven distributed objects architectures. By: D. Mencnarowski et al., Poland Journal: Software-Practice and Experience Vol. 30, No. 13, p. 1509-29
AB	Improved spelling recognition using a tree-based fast lexical match. By: C.D. Mitchell et al., 1999 IEEE International Conf. On Acoustics, Speech and Signal Proceedings. Vol. 2, p. 597-600.
AC	Event management components for the 3/sup rd/ generation OSS By: S, Desrochers et al., Proceedings of Network Operations and Management Symposium Conference Date: 10-14 April 2000 Conference Location: Honolulu, HI, USA
AD	A context-dependent similarity measure for strings By: E. Tanaka. Transactions of the Institute of Electronics and Communication Engineers of Japan, Part A, VO.. J67A, No. 6, p. 612-13..
AE	READY: a high performance event notification service By: Gruber, R.E. et al. Proceedings 16th International Conference on Data Engineering Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Data Eng. Conference Date: 29 Feb.-3 March 2000 Conference Location: San Diego, CA, USA
AF	An event notification framework based on Java and CORBA By: Tomono, M. Japan Conference Title: Integrated Network Management VI. Distributed Management for the Networked Millennium
AG	Mobile streams By: Ranganathan, M et al., Proceedings of the Sixth Annual Tcl/Tk Conference p.203-4 Publisher: USENIX Assoc, Berkeley, CA, USA Publication Date: 1998
AH	A flexible and recoverable client/server database event notification system By: Hanson, E.N. et al., VLDB Journal vol.7, no.1 p.12-24, 1998.
AI	Using events to build distributed applications Author(s): Bacon, J. et al., Second International Workshop on Services in Distributed and Networked Environments Conference Sponsor: IEEE Comput. Soc. Tech. Committee on Distributed Process Conference Date: 5-6 June 1995
AJ	High-Performance Alphabet Recognition, IEEE Speech and Audio Processing, By: Philipos C. Loizue et al. Nov. 1996, Vol. 4, No. 6, pp. 430-445.
AK	Speaker-Independent Name Retrieval From Spellings Using a Database of 50,000 Names, By: Ronald A. Cole et al., 1991 International Conference on Acoustics, Speech, and Signal Processing Vol. 5, pp. 325-328.
EXAMINER:	 DATE CONSIDERED: 9/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

-5-  
**RECEIVED**  
OCT 19 2001  
Technology Center 2600

Sheet 3 of 4

<b>FORM PTO-1449</b>	Atty. Docket No.: <b>M651.12-0323</b>	Appl. No.: <b>09/751,836</b>
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  	First Named Inventor:  <b>Philipp H. Schmid et al.</b>	
	Filing Date <b>December 29, 2000</b>	Group Art: <b>2641</b>

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

11	AA ✓	<b>IBM Voicetype Software</b> , By: R. Fletcher, IEE Colloquium on the Language Toolkit Engineers in Business.
1	AB	<b>Temporal Decomposition and Acoustic-Phonetic Decoding for the Automatic Recognition of Continuous Speech</b> By: P. Deleglise et al. 9th International Conference on Pattern Recognition. Vol. 11, pp. 839-841.
1	AC ✓	<b>Improving Recognizer Acceptance Through Robust, Natural Speech Repair</b> By: Arthur E. McNair et al., 1994 International Conference on Spoken Language Processing. Pp. S22-15.1 - S22-15.4
1	AD	<b>Automated Directory Listing Retrieval System Based on Isolated Word Recognition</b> By: Bernd Aldefeld et al., Proceedings of the IEEE, Vol. 68, No. 11, Nov. 80 pp. 1364-1379.
1	AE ✓	<b>Empirical evaluation of interactive multimodal error correction</b> , By: Suhm, B., 1997 IEEE Workshop on Automatic Speech Recognition and Understanding Proceedings
1	AF	<b>Noise robust speech recognition makes in-car navigation safe and affordable</b> By: Smolders, J. et al. 'Steps Forward'. Proceedings of the Second World Congress on Intellectual Transport Systems '95 Yokohama Part vol. 2 p. 601-4 vol. 2.
1	AG ✓	<b>Building a listener engine: a Smalltalk interface to speech recognition</b> By: LaLonde, W., Pugh, J. Journal: Journal of Object Oriented Programming (JOOP) vol. 10, no. 7 1997-1998
1	AH	<b>LEAP: Language Enabled Application</b> By: Alabiso, B. ; Kronfeld, A. First International Workshop on Human-Computer Conversation. Draft Proceedings p. 15
1	AI ✓	<b>Tools for developing voice-operated applications</b> By: Newstadt, R.E., Speech Technology vol. 4, no. 4, p. 62-6.
1	AJ ✓	<b>Examining Microsoft's Speech SDK</b> By: Davis, P., Dr. Dobb's Journal vol. 24, no. 7 p. 86, 88-90. 1999
1	AK ✓	<b>Speech processing technology towards practical use</b> By: Shirai, K.; Kobayashi, T.; Kudo, I., Joho Shori vol. 38, no. 11 p. 971-5
1	AL ✓	<b>Recent improvements on Microsoft's trainable text-to-speech system-Whistler</b> By: Haung, X et al., 1997 IEEE International Conference on Acoustics, Speech and Signal Processing (Cat. No. 97CB36052) Part vol. 2 p. 959-62 vol. 2.
1	AM ✓	<b>Software text-to-speech</b> By: Hallahan, W.J.; Vitale, A.J., Journal: International Journal of Speech Technology vol. 1, no. 2 p. 121-34
1	AN ✓	<b>Japanese text-to-speech software (FLUET) based on waveform synthesis method</b> By: Hakoda, K.; Tsukada, H.; Hirokawa, T.; Yoshida, Y.; Mizuno, H.

EXAMINER:

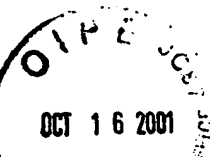
*Matthew J. Smith*

DATE CONSIDERED:

*9/13/04*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 4 of 4

FORM PTO-1449	Att'y. Docket No.: M651.12-0323		Appl. No.: 09/751,836
<div data-bbox="103 424 311 579">  </div> <div data-bbox="251 371 829 441">           LIST OF PATENTS AND PUBLICATIONS FOR            APPLICANT'S INFORMATION            DISCLOSURE STATEMENT         </div>	First Named Inventor:		
	Philipp H. Schmid et al.		
	Filing Date December 29, 2000	Group Art: 2641	

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Atty. Docket No.:  
**M651.12-0323**

Appl. No.:  
09/751,836

First Named Inventor:

Philipp H. Schmid et al.

Filing Date


Group Art:

December 29, 2000

2641

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER: 	DATE CONSIDERED: <del>7/2/04</del> 9/13/04
---	--

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.